



BASANT INDIA LIMITED

(BASANT FINANCE GOLD LOAN RBI-COR 14.00859)

CIN : L51909DL1985PLC021396

To,

Date:30/05/2022

Metropolitan stock exchange of India (MSE)
Vibgyor Towers, 4th floor,
Plot No C 62, G Block, opp. Trident hotel,
Bandra Kurla Complex, bandra (E),
Mumbai, 400098

SUBJECT: Submission of Secretarial Compliance report under Regulation 24A (2) of the SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015 for the financial year ended March 31, 2022.

Dear Sir,

Pursuant to the provision of Regulation 24A(2) of SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015, we are enclosing herewith the Secretarial Compliance Report for the financial year ended 31st March, 2022 issued by the M/s. Puneet Kumar Pandey, Practicing Company Secretary, in the format prescribed by the SEBI.

Kindly take on record the same.

Thanking You,

For Basant India Limited

For BASANT INDIA LIMITED


(Authorized Signatory)

Kriti Bhargava
Company Secretary cum Compliance Officer

Regd Office: 912, Indraprakash Building, 21, Barakhamba Road, Connaught Place, New Delhi-110001

Corp Office: 7/7, Jhilmil Industrial Area, Shahdara, Delhi – 110095

Email: basantfinance@basantfinance.com, Phone: 011-35516479

Visit us@ www.basantfinance.com

PUNEET KUMAR PANDEY
Company Secretary
R/o-C-4/216, Sector-6
Rohini, New Delhi-110085

Annual Secretarial Compliance Report of Basant India Limited for the year ended 31.03.2022

I, Puneet Kumar Pandey, Practicing Company Secretary have examined:

- a) all the documents and records made available to us and explanation provided by Basant India Limited ("the listed entity"),
- b) the filings/submissions made by the listed entity to the stock exchanges,
- c) website of the listed entity,
- d) any other document/filing, as may be relevant, which has relied upon to make this certification,

for the year ended March 31, 2022 ("Review Period") in respect of compliance with the provisions of:

- a) the Securities and Exchange Board of India Act, 1992 ("SEBI Act") and the Regulations, circulars, guidelines issued thereunder; and
- b) the Securities Contracts (Regulation) Act, 1956 ("SCRA"), rules made thereunder and the Regulations, circulars, guidelines issued thereunder by the Securities and Exchange Board of India ("SEBI");

The specific Regulations, whose provisions and the circulars/ guidelines issued thereunder, have been examined, include: -

- a) Securities and Exchange Board of India (Listing Obligations and Disclosure Requirements) Regulations, 2015 (herein after referred as "SEBI(LODR) Regulations, 2015")(as amended from time to time);
- b) Securities and Exchange Board of India (Issue of Capital and Disclosure Requirements) Regulations, 2018;**Not Applicable as the Company did not issue any securities during the financial year under review.**

- c) Securities and Exchange Board of India (Substantial Acquisition of shares and Takeovers) Regulations, 2011;
- d) Securities and Exchange Board of India (Buyback of Securities) Regulations, 2018;**Not Applicable as the Company has not bought back any of its securities during the financial year under review.**
- e) Securities and Exchange Board of India (Share Based Employee Benefits and Sweat Equity) Regulations, 2021;**Not Applicable as the Company has not granted any share based benefits to its employees during the financial year under review.**
- f) Securities and Exchange Board of India (Issue and Listing of Debt Securities) Regulations, 2008;**Not Applicable as the Company has not issued or listed any debt securities during the financial year under review.**
- g) Securities and Exchange Board of India (Issue and Listing of Non-Convertible and Redeemable Preference Shares) Regulations, 2013;**Not Applicable as the Company has not issued or listed any Non-Convertible and Redeemable Preference Shares during the financial year under review.**
- h) Securities and Exchange Board of India (Prohibition of Insider Trading) Regulations, 2015 (as amended from time to time);
- i) Other Regulations as applicable on the Listed entity for the period under review:
- Securities and Exchange Board of India (Depositories and Participants) Regulations, 1996 (as amended from time to time);
 - The Securities and Exchange Board of India (Registrars to an Issue and Share Transfer Agents) Regulations, 1993 (as amended from time to time).

and based on the above examination, I hereby report that, during the Review Period:

- a) The listed entity has complied with the provisions of the above Regulations and circulars/ guidelines issued thereunder.
- b) The listed entity has maintained proper records under the provisions of the above Regulations and circulars/ guidelines issued thereunder insofar as it appears from my examination of those records.
- c) The following are the details of actions taken against the listed entity/ its promoters/ directors/ material subsidiaries either by SEBI or by Stock Exchanges (including under the Standard Operating Procedures issued by SEBI through various circulars) under the aforesaid Acts/ Regulations and circulars/ guidelines issued thereunder:

Sr. No	Action Taken By	Details of Violation/Discrepancies	Details of action taken E.g. fines, warning letter, debarment, Emails etc.	Observations/Remarks of the Practicing Company Secretary
1	Metropolitan Stock Exchange of India Limited (MSEI)	Non-compliance of Regulation 33 of SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015 with regard to non-submission of financial result for the quarter and year ended 31 st March, 2021, the same has not been submitted to the stock exchange.	An e-mail has been sent by the Metropolitan Stock Exchange of India Limited on 16 th August, 2021 for imposing fine as per SEBI circular no. SEBI/HO/CFD/CMD/CIR/P/2020/12 dated January 22, 2020 with respect to the non-compliance of the Regulation 33 of SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015 with regard to non-submission of financial result for the quarter and year ended 31 st March, 2021, and a fine of Rs. 3,42,000 (Including GST of Rs. 52,200/-) was imposed on the Company.	The Company had submitted the said financial results for the quarter and year ended 31 st March, 2021 in XBRL mode on 29-06-2021 but due to some technical glitch the pdf file for the financial results had not been uploaded, the pdf file of the same was uploaded on 30.08.2021 and paid the fine of Rs. 3,42,000 /- (Including GST of Rs. 52,200/-). Rs 1,71,100/- (Inclusive of GST) was paid on 1 st September, 2021 and Rs 1,71,100/-

				(Inclusive of GST) was paid on 2 nd September, 2021.
--	--	--	--	---

- d) The listed entity is not required to take any actions as there were no observations made in the previous reports for the year ended 2021.

Note: As per SEBI Circular No CIR/ISD/3/2011-100% Promoters Holding are to be dematerialized Form, The Company has taken suitable actions in this regard and the same is under process.

Place: Delhi
Date: 30.05.2022



Puneet Kumar Pandey
M No-A29848
C P No.10913
UDIN:A029848D000426301

Note: - This report is based upon the information and explanation made available by the Management of the Company examining the secretarial records of the Company. Its not an due diligence.